



January 13, 2005

**Via First Class Mail**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/741,535 for "Conjugated Diene  
Polymers and Copolymer Blocks and Process for Preparing Same"  
Inventor(s): Carl L. Willis  
Filing Date: December 19, 2003  
Attorney Docket No.: TH1552/US

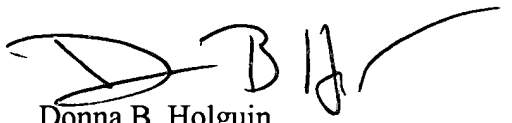
Dear Sir:

Please find the following enclosed documents:

1. Transmittal of Information Disclosure Statement (Under 37 CFR 1.97(b) or 1.97(c));
2. Information Disclosure Citation (3 pages); and
3. Return Receipt Postcard.

The Commissioner is hereby authorized to charge and credit KRATON Polymers U.S. LLC Deposit Account No. 50-1951 for the fees necessitated with the filing of this correspondence.

Respectfully submitted,

  
Donna B. Holguin  
Intellectual Property Patent Attorney

Kraton Polymers U.S., LLC  
3333 Highway 6 South, Houston, TX 77082  
Telephone: 281-668-3224 Fax: 281-668-3155

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

TH1552/US

In Re Application Of: Carl L. Willis

JAN 19 2005

Application No.

10/741,535

Filing Date

December 19, 2003

Examiner

Unknown

Customer No.

30522

Group Art Unit

1713

Confirmation No.

4634

Title: Conjugated Diene Polymers and Copolymer Blocks and Process for Preparing Same

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

TH1552/US

In Re Application: Carl L. Willis

JAN 18 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/741,535	December 19, 2003	Unknown	30522	1713	4634

Title: Conjugated Diene Polymers and Copolymer Blocks and Process for Preparing Same

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-1951 as described below.
- ☒ Charge the amount of \$180.00
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

January 13, 2005

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Mark S. Disisto

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\*This certificate may only be used if paying by deposit account.



Signature

Dated: 01/13/05

Donna B. Holguin

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KRATON Polymers U.S. LLC

3333 Highway 6 South, Rm. CA-108

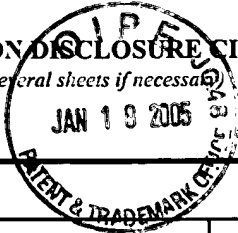
Houston, TX 77082

281-668-3224 (Phone)

281-668-3155 (Fax)

cc:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>TH1552/US</b>	Application Number <b>10/741,535</b>
Applicant(s) <b>Carl L. Willis</b>		
Filing Date <b>December 19, 2003</b>		Group Art Unit <b>1713</b>



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,113,986	12-10-1963	Breslow et al.			
		3,130,237	4-21-1964	Wald			
		3,205,218	3,205,218	Arbuckle et al.			
		3,595,942	7-27-1971	Wald et al.			
		3,830,880	8-20-1974	De LaMare			

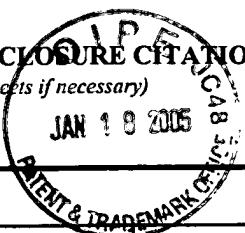
U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2004-0054090 A1	3-18-2004	Bening et al.			

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 387 947	9-28-1994	EP				

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,172,100	10-23-1979	Tung et al.			
		4,182,818	1-8-1980	Tung et al.			
		4,196,153	4-1-1980	Tung et al.			
		4,196,154	4-1-1980	Tung et al.			
		5,795,944	8-18-1998	Graafland et al.			

**U.S. PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

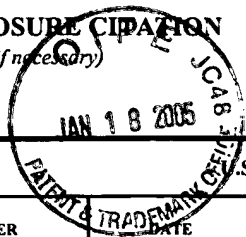
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,391,981	5-21-2002	Willis et al.			

**U.S. PATENT APPLICATION PUBLICATIONS**

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